

(Use several sheets if necessary)

Docket Number (Optional) (2-11-11)

PH01-00-01 C

Application Number

**09/691,812**

**Applicant(s)**

Jefferson L. Wagener et al.

**Filing Date**

**10/19/2000**

### Group Art Unit

2874

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

**EXAMINER**

Sunny Park

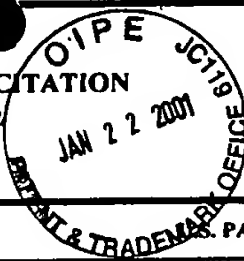
**DATE CONSIDERED**

3/24/03

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

2060/1 C1

Application Number

09/691,812

Applicant(s)

Jefferson L. Wagener, et al.

Filing Date

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## PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SP	1	5,479,082	12/26/95	Calvani et al.	359	127	
SP	2	5,504,827	4/2/96	Schimpe	385	24	
SP	3	5,583,683	12/10/96	Scobey	359	127	
SP	4	5,612,805	3/18/97	Fevrier et al.	359	124	
SP	5	5,959,749	9/28/99	Danagher et al.	359	124	
SP	6	5,974,207	10/26/99	Aksyuk et al.	385	24	
SP	7	5,960,133	9/28/99	Tomlinson	385	18	
SP	8	5,915,050	6/22/99	Russell et al.	385	7	
SP	9	6,028,689	2/22/00	Michalick et al.	359	224	

## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

SP	1	E. Murphy, <u>Optical Fiber Telecommunications IIIB</u> , Chapter 10, edited by T. Koch and I. Kaminow, Academic Press
SP	2	C.R. Doerr, <u>Proposed WDM Cross Connect Using A Planar Arrangement of Waveguide Grating Routers and Phase Shifters</u> , <u>Photonics Technology Letters</u> , Vol. 10, No. 4, April 1998.

EXAMINER

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Group Art Unit  
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\*EXAMINER  
INITIAL

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(Including Author, Title, Date, Pertinent Pages, Etc.)

JDS Uniphase Corporation, Add-Drop Modules, Product Bulletin 2000, Ontario, Canada

D.O.Culverhouse et al., Low-loss all-fiber acousto-optic tunable filter, Optical Society of America, Vol. 22, No. 2, January 15, 1997, pgs. 96-98.

EXAMINER

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DATE CONSIDERED

3/23/02

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<p>#7 Supplemental  <b>INFORMATION DISCLOSURE CITATION</b>          (Use several sheets if necessary)</p> <p>SEP 19 2002</p>	Docket Number (Optional) <b>PH01-00-01</b>		Application Number <b>09/691,812</b>
	Applicant(s) <b>Jefferson L. Wagener et al.</b>		
	Filing Date <b>10/19/00</b>	Group Art Unit <b>2874</b>	

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**DATE CONSIDERED**

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3/24/02

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**SHEET 1 OF 1**

3rd Supplemental  
**INFORMATION DISCLOSURE CITATION**  
 (Use several sheets if necessary)

Docket Number (Optional)  
 2060/1 C1

Application Number  
 09/691,812

Applicant(s)  
 Jefferson L. Wagener et al.

Filing Date  
 10/19/00

Group Art Unit  
 2874

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 JUL 24 2002  
 PATENT & TRADEMARK OFFICE

PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SP	1.	5,835,517	11/10/98	Jayaraman et al.	372	50	
SP	2.	4,626,066	12/2/86	Levinson	350	96.18	
SP	3.	6,289,148	9/11/2001	Lin et al.	385	24	
<div style="position: absolute; top: 250px; left: 520px; transform: rotate(-15deg);">           COPY OF PAPERS            ORIGINALLY FILED         </div> <div style="position: absolute; top: 350px; left: 770px; transform: rotate(-90deg);">           RECEIVED            JUL 26 2002            TECHNOLOGY CENTER 2800         </div>							

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
SP	1.	Roberto Sabella et al., "Impact of Transmission Performance on Path Routing in All-Optical Transport Networks," <i>Journal of Lightwave Technology</i> , Vol. 16, no. 11 (Nov. 1998), pp. 1965-1971.

EXAMINER Sung Ruk

DATE CONSIDERED 3/24/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<div style="text-align: center;"> <p><b>Supplemental #5</b></p> <p><b>INFORMATION DISCLOSURE CITATION</b></p> <p><i>(Use several sheets if necessary)</i></p> </div> <div style="position: absolute; left: -50px; top: 50px; transform: rotate(-90deg); font-size: small;">             PATENT &amp; TRADEMARK OFFICE           </div> <div style="position: absolute; left: 0; top: 0; text-align: right;"> <p><b>0</b></p> <p><b>JUN 25 2001</b></p> </div>	Docket Number (Optional) <b>2060/1 C1</b>	Application Number <b>09/691,812</b>
	Applicant(s) <b>Jefferson L. Wagener et al.</b>	
	Filing Date <b>10/19/00</b>	Group Art Unit <b>2874</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

98	1	C.R. Giles, et al., "Low-Loss ADD/DROP Multiplexers for WDM Lightwave Networks," Tenth International Conference on Integrated Optics and Optical Fibre Communication, IOOC, Vol. 3, June 29, 1995.

EXAMINER <span style="font-size: 1.5em; font-family: cursive;">Sunny Pak</span>	DATE CONSIDERED <span style="font-size: 1.5em; font-family: cursive;">3/24/03</span>
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**SHEET 1 OF 1**